

HAND DELIVERED

Due By April 30, 2010

13#87209 09 FS-1

Rhode Island Ethics Commission

2009 YEARLY FINANCIAL STATEMENT

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GORDON D FOX 11 GORTON STI PROVIDENCE RI	EET 02906-0000			APR 20
names				
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STATE, ANSWERS SHU	QUESTIONS AND WHER ULD BE PRINTED OR TYRE estion, read instruction she	PED , and additional shee	"NONE" OR "NOT AF ets may be used if more	PPLICABLE" SO space is needed.
Financial Statemer	municipal official or employed tion of the law and may subject it in the mail but believe you ntact the Ethics Commission (S (LAST)	:you to substantial penalties u did not hold a nublic n	s, including fines. If you rec	aivad a 2000 Vaarly
2. HOME ADDRESS OF TO	n Street,	TY MILLONE	O CO (ZIP	CODE)
MAILING ADDRESS (If differe	nt from home address)			
3. List Public Position(s	Tyou hold and government	al unit: District	(MUNICIPALITY, STATE	OR REGIONAL)
(PUBLIC POSITION)	Band of a	LICENSES	(MUNICIPALITY, STATE	OR REGIONAL)
I was elected on (date	I was appointed on	1-26-06 I was (date)	hired on (date)	
lf you no longer hold	a public position, state date	of termination or resigna	ation	
4. List elected office(s) to State / Ke	or which you were/are a car fresontative	ndidate in either calendar	year 2009 or 2010 (Rea	ad instruction #4)
5. List the following:	NAME OF SPOUSE			

6. List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2009. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. If the public position or employment listed in #3, above, provides you with an amount of gross income in excess of \$250 it must be listed here. (Do Not List Amounts.)

NAME OF FAMILY MEMBER EMPLOYED

NAME AND ADDRESS OF EMPLOYER OR OCCUPATION

DATES AND NATURE OF SERVICES RENDERED

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7.	List the address or legal description of any real estate, or dependent child had a financial interest.	other than your principal residence,	in which you, your spouse,

NAMES

NATURE OF INTEREST

ADDRESS OR DESCRIPTION

NA

8. List the name of any trust, name and address of the trustee of any trust, from which you, your spouse, or dependent child or children individually received \$1,000 or more gross income. List assets if known. (Do Not List Amounts.)

NAME OF TRUST:
NAME OF TRUSTEE AND ADDRESS:
NAME OF FAMILY MEMBER RECEIVING TRUST INCOME:
ASSETS:

 List the name and address of any business organization or other entity, whether for profit or non-profit, in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or a management position.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

POSITION

See attached

Question 6. List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2009. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250 list the date and nature of services rendered. If the public position or employment listed in #3 above, provides you with an amount of gross income in excess of \$250 it must be listed here. (Do Not List Amounts)

Name of Family Member Employed	Name and Address of Employer or Occupation	Dates and Nature of Services Rendered
Gordon D. Fox	Law office of Gordon Fox 127 Dorrance Street – 2 nd Floor Providence, RI 02903	1/1/09 – 12/31/09 Attorney
Gordon D. Fox	City of Providence 25 Dorrance Street Providence, RI 02903	1/2/09 – 12/31/09 Member Board of Licenses
Gordon D. Fox	State of RI General Assembly State House Room 323 Providence, RI 02903	1/2/09 – 12/31/09 State Representative District 4
Gordon D. Fox	Johnson & Wales University 8 Abbott Park Place Providence, RI 02903	1/5/09 - 2/23/09 9/14/09 - 12/14/09 Adjunct Professor

Question 9.

9. List the name and address of any business, profit or non-profit in which you, your spouse, or dependent child or children held a position as a director, officer, partner, trustee or management position.

Name of Family Member	Name & Address of Business	Position
Gordon D. Fox	Mt. Hope Neighborhood Assoc. 199 Camp Street Providence, RI 02906	Advisory Board Member
Gordon D. Fox	Summit Neighborhood Assoc. 1 Overhill Road Providence, RI 02906	Advisory Board Member
Gordon D. Fox	Providence Performing Arts Center 220 Weybosset Street Providence, RI 02903	Trustee
Gordon D. Fox	Mt. Hope Learning Center 140 Cypress Street Providence, RI 02906	Advisory Board Member
Gordon D. Fox	Providence Plan 56 Pine Street Providence, RI 02903	Trustee

Question 11.

11. List the name and address of any business in which you, your spouse, or dependent child, individually or collectively, holds a 10% or greater ownership, or a \$5,000.00 or greater ownership or investment interest.

Name of Family Member

Name & Address of Business

Gordon D. Fox

Law Office of Gordon D. Fox 32 Custom House Street Providence, RI 02903 10. List the name and address of any interested person, or business entity, that made total gifts or total contributions in excess of \$100 in cash or property during calendar year 2009 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY MAKING GIFT OR CONTRIBUTION

None

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

See attached

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2009 with a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS NAME OF AGENCY

DATE AND NATURE OF TRANSACTION

MA

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF REGULATING AGENCY

NIA

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement **AND** if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS OF BUSINESS

DESCRIPTION OF INTEREST (NOT AMOUNT)
AND DATE ACQUIRED AND/OR DIVESTED

11/1

NAME OF REGULATING AGENCY

HOW REGULATED

15. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS OF BUSINESS

DESCRIPTION OF INTEREST DATE ACQUIRED AND/OR DIVESTED (DO NOT INCLUDE AMOUNT)

NAME OF STATE OR MUNICIPAL AGENCY

MA

16. If you, your spouse or dependent child were indebted in an amount in excess of \$1,000 to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following:

NAME AND ADDRESS OF DEBTOR

NAME AND ADDRESS OF LENDER

I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2009 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission.

State of Rhode Island

SIGNATURE

County of

Subscribed and sworn to before me at Archidente

29 day of March 20

My Commission expires:

SIGNATURE OF NOTARY RUBLIC

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.